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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	1095-1067.2
First Inventor	Mark Y. Zhan
Title	Cargo Snagger Strap And Hook Mechanism
Express Mail Label No.	EL 874 025 219 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents,  
Box Patent Application  
Washington, D.C. 20231

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)  
See 37 CFR 1.27.
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages (preferred arrangement set forth below) 18]  
- Descriptive title of the invention Copy from Parent  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]  
Copy from Parent
- ☐ Oath or Declaration [Total Pages 3]  
a. ☐ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s)) Copy from
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney Parent
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
- ☒ Other: PTO Form 892 (Paper 6) 1st & 2nd Preliminary Amendments; Resp to OA 02-07-01; 06-22-01; and 01-19-02

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/459545  
Prior application information: Examiner Stephen T. Gordon Group / Art Unit 3612

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name	David C. Brezina				
	Lee, Mann, Smith, McWilliams, Sweeney & Ohlson				
Address	P. O. Box 2786				
City	Chicago	State	IL	Zip Code	60690-2786
Country	USA	Telephone	312-368-1300	Fax	312-368-0034

Name (Print/Type)	David C. Brezina	Registration No. (Attorney/Agent)	34,128
Signature	David C. Brezina	Date	March 6, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number	To Be Assigned Herewith
Filing Date	Herewith
First Named Inventor	Mark Y. Zhan
Examiner Name	Stephen T. Gordon
Group Art Unit	3612
Attorney Docket No.	1095-1067.2

TOTAL AMOUNT OF PAYMENT \$740.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **12-0913**

Deposit Account Name **Lee, Mann, Smith et al.**

- ☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17  
☐ Applicant claims small entity status See 37 CFR § 1.27

2. ☒ Payment Enclosed: **No. 48211**

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
101	740	201	Utility filing fee	740.00
106	330	206	Design filing fee	
107	510	207	Plant filing fee	
108	740	208	Reissue filing fee	
114	160	214	Provisional filing fee	
SUBTOTAL (1)				740.00

### 2. EXTRA CLAIM FEE

Extra Claims	Fee from below	Fee Paid
Total Claims <b>10</b> - 20** = <b>0</b>	X <b>15.00</b> = <b>0.00</b>	
Independent Claims <b>3</b> - 3** = <b>0</b>	X <b>60.00</b> = <b>0.00</b>	
Multiple Dependent		

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
103	18	203	Claims in excess of 20	
102	84	202	Independent claims in excess of 3	
104	280	204	Multiple dependent claim, if not paid	
109	84	209	** Reissue independent claims over original patent	
110	16	210	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				\$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
105	130	205	Surcharge - late filing fee or oath	
127	50	227	Surcharge - late provisional filing fee or cover sheet	
139	130	139	Non - English specification	
147	2,520	147	For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	400	216	200 Extension for reply within second month	
117	920	217	460 Extension for reply within third month	
118	1,440	218	720 Extension for reply within fourth month	
128	1,960	228	980 Extension for reply within fifth month	
119	320	219	160 Notice of Appeal	
120	320	220	160 Filing a brief in support of an appeal	
121	280	221	140 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,280	241	640 Petition to revive - unintentional	
142	1,280	242	640 Utility issue fee (or reissue)	
143	460	243	230 Design issue fee	
144	620	244	310 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR § 1.17(q)	
126	180	126	180 Submission of Information Disclosure Statement	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify)				
SUBTOTAL (3)				\$0.00

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Name (Print/Type) **David C. Brezina**  
Signature *David C. Brezina*

Registration No.  
(Attorney/Agent)

34,128

## Complete (if applicable)

Telephone **312-368-1300**

Date **March 6, 2002**

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2838.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: )  
 )  
 Mark Y. Zhan and Stuart H. Thomson ) Examiner: Stephen T. Gordon  
 )  
 Serial No.: To Be Assigned ) Group Art Unit: 3612  
 )  
 Filed: Herewith )  
 )  
 Patent: Cargo Snugger Strap And Hook Mechanism )  
 Commissioner for Patents  
 Washington, D.C. 20231-9998

Dear Sir:

**DIVISIONAL PATENT APPLICATION WITH  
 TRANSMITTAL AND FEE TRANSMITTAL AND FEE  
 and  
PHOTOCOPIES OF DOCUMENTS FROM PARENT CASE**

"Express Mail" mailing label number


EL 874 025 219 US

Date of deposit: March 6, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington DC 20231

Richard Sensenbrenner

(Typed or printed name of person mailing paper or fee)

  
 (Signature of person mailing paper or fee)

**PATENT APPLICATION TRANSMITTAL LETTER**  
(Large Entity)

Docket No.  
1095-1067.1

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

Mark Y. Zhan and Stuart H. Thomson

For: Cargo Snugger Strap And Hook Mechanism

Enclosed are:

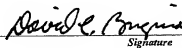
- ☒ Certificate of Mailing with Express Mail Mailing Label No. EL 350 752 187 US  
☒ Three (3) sheets of drawings.  
☐ A certified copy of a \_\_\_\_\_ application.  
☒ Declaration ☒ Signed. ☐ Unsigned.  
☒ Power of Attorney  
☐ Information Disclosure Statement  
☐ Preliminary Amendment  
☒ Other: Assignment of Invention to Holland Company (Including Assignment Cover Sheet and Fee)

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	16	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	3	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$760.00
TOTAL FILING FEE					\$760.00

- ☒ A check in the amount of \$760.00 to cover the filing fee is enclosed.  
☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 12-0913 as described below. A duplicate copy of this sheet is enclosed.  
☐ Charge the amount of \_\_\_\_\_ as filing fee.  
☒ Credit any overpayment.  
☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.  
☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: December 13, 1999

  
Signature

David C. Brezina, Registration No. 34,128  
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cc:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: )  
 )  
 Mark Y. Zhan and Stuart H. Thomson ) Examiner:  
 )  
 Serial No.: To Be Assigned Herewith ) Group Art Unit:  
 )  
 Filed: Herewith )  
 )  
 Patent: Cargo Snugger Strap And Hook )  
 Mechanism )

UTILITY PATENT APPLICATION WITH COVER SHEET AND FEE  
 and  
ASSIGNMENT WITH COVER SHEET AND FEE

"Express Mail" mailing label number

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Date of deposit: December 13, 1999

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Richard Sensenbrenner

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

Commissioner of Patents  
Washington, D.C. 20231-9998

### **SUBMISSION OF FORMAL DRAWINGS**

Responsive to the Examiner's drawing objections noted in an Office Action dated March 22, 2001 (Paper No. 6) as entered in the above-captioned matter, submitted herewith are five (5) sheets of formal drawings for the above-identified application.

Respectfully submitted,

Date June 22, 2001

John W. Hayes  
Registration No. 33,900

One of the Attorneys for Applicant

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